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Date: 3/13/02 By: Maryann R. Johnson
Maryann R. Johnson

PATENT
Docket No.: 30055.800US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Dominic Greco)
) **Examining Atty: (to be assigned)**
Application No: 10/056,241)
) **Group Art Unit: (unknown)**
Filed: January 22, 2002)
)
For: A Method, Apparatus and System for)
Control and Assessment of Risk in)
Commercial Transactions)

REQUEST FOR CORRECTED FILING DATE

Assistant Commissioner for Patents
Washington, D.C. 20231

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Dear Sir:

Applicants are in receipt of the returned stamped postcard from the U. S. Patent Office for the above-referenced patent application. The postcard has been erroneously stamped as filed on "01/23/02" (see yellow highlighted copy enclosed). The *correct* filing date should be --01/22/02-- as shown in the following documents enclosed as evidence:

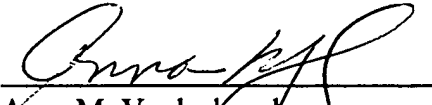
1. A copy of Express Mail Label No. EK 178155232 US indicating the "date in" as "1-22-02";
2. A copy of the receipt received from the Airport Station Post Office, Los Angeles, California, indicating the date received as "01/22/2002"; and
3. A copy of Applicant's Transmittal Letter with the Certificate of Express Mailing Under 37 C.F.R. 1.10 showing the date of deposit as "January 22, 2002".

Application No. 10/056,241

Applicant requests that the *Corrected Filing Date* of --January 22, 2002-- be entered for the above-referenced application, and that upon issuance of the Filing Receipt the correct filing date be indicated.

Respectfully submitted,

Date: Feb 13, 2002

By: 
Anna M. Vradenburgh
Reg. No. 39,868

AMV/mrj
Enclosures

Brull Piccionelli Sarno Braun & Vradenburgh
1925 Century Park East, Suite 2350
Los Angeles, CA 90067
(310)553-3375 (telephone)
(310)553-4120 (facsimile)



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Receipt is hereby acknowledged for the following in the
U. S. Patent and Trademark Office:

Express Mail Label No.: EK 178155232 US
Date of Deposit: January 22, 2002

Application Transmittal Letter (2 pages)
Application including 40 pages Spec.; 9 pages of claims
1 page Abstract; 16 sheets of Formal Drawings (16 Figures)
Combined Declaration and Power of Atty. (3 pages)
Information Disclosure Statement (3 pgs.) with
form PTO-1449
Assignment and Recordation Form Cover Sheet
\$40 fee for recordation of Assignment (included in check #1230)
Check #1230 for \$ 231.00

Applicant: Dominic Greco
Docket No: 30035.800US01

AMV/mnj

J1000 U.S. PTO

10/056241



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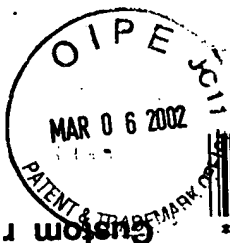
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Sales Receipt		
Product	Sale	Unit
Description	Qty	Price
WASHINGTON DC 20231		\$16.25
Express Mail PO-ADD		
Serial Number	EK178155232US	
2nd day 3PM /Normal		
Delivery		
Paid by account:		\$16.25
EMCA account number:		901781

Total: \$0.00

Paid by:

Bill#: 1001400852726
Clerk: 14

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CERTIFICATE OF EXPRESS MAILING 37 CFR 1.10

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Date of Deposit: **January 22, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service, Express Mail Post Office To Addressee service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Maryann R. Johnson Name: Maryann R. Johnson

Attorney Docket No.: 30055.800US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION - FEE

Assistant Commissioner for Patents

Washington, D.C. 20231

Date: 1/22/2002

Dear Sir:

Transmitted herewith for filing is the U. S. patent application of:

Inventor(s): **Dominic Greco**

For: **A METHOD, APPARATUS AND SYSTEM FOR CONTROL AND ASSESSMENT OF RISK IN COMMERCIAL TRANSACTIONS**

We are transmitting herewith the attached:

- ☒ Transmittal sheet containing Certificate under 37 CFR 1.10.
- ☒ Patent Application: Spec. 40 pgs; including 9 pgs. of claims; Abstract 1 pg.
- ☒ The fee has been calculated as shown below in the "Calculation of Fees" table.
- ☐ Design Patent Application: Spec. pgs.
- ☒ 16 Sheets of Formal Drawings (16 Figures).
- ☐ Certified copy of a application, Serial No. , filed , the right of priority of which is claimed under 35 U.S.C. 119. Will follow.
- ☐ Application claims the right of priority of provisional application, under 35 U.S.C. 120.
- ☒ Small entity status is claimed under 37 CFR 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney.
- ☐ An unsigned Combined Declaration and Power of Attorney.
- ☐ An associate Power of Attorney.
- ☒ Assignment of the invention to Big Finance and Insurance Services, Inc., Recordation Form Cover Sheet. (2 sheets)
- ☒ A check (#1230) in the amount of \$731.00 to cover the Filing Fee (\$691.00) and Assignment Recordation fee (\$40.00)
- ☐ **PAYMENT OF FEES IS BEING DEFERRED.**
- ☒ The fee \$40.00 to cover the Assignment Recordation (included in check # 1230).
- ☐ Computer readable form of . Applicants state that the paper copy form of the section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Information Disclosure Statement with form PTO-1449.
- ☒ Return postcard

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CALCULATION OF FEES

ITEM		NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE x RATE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	31 - 20* =	31 x \$9.00	\$ 279.00	
B	INDEPENDENT CLAIMS FEE**	4 - 3* =	1 x \$42.00	\$ 42.00	
C	SUBTOTAL			SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)	\$ 321.00
D	BASIC FEE*			SMALL ENTITY FEE = \$370.00 LARGE ENTITY FEE = \$740.00	\$ 370.00
E	MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$280.00	\$-0-
F	ASSIGNMENT RECORDING FEE			\$ 40.00	\$ 40.00
G	TOTAL FEE (ADD LINES C, D, E AND F)				\$ 731.00
	**LIST INDEPENDENT CLAIMS (1,5)				

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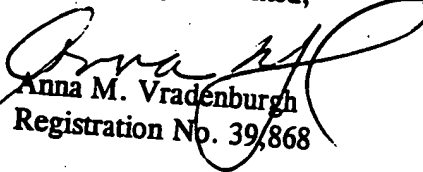
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- Please charge my Deposit Account ~~xxxxxxx~~ the amount of \$ _____. A copy of _____ letter is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. ~~xxxxxxx~~. A duplicate copy of this sheet is enclosed.
 - Any additional filing fees required under 37 CFR 1.16.
 - Any patent application processing fees under 37 CFR 1.17.
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit a overpayment to Deposit Account No. ~~xx-xxxx~~. A copy of this letter is enclosed.
 - Any patent application processing fees under 37 CFR 1.17.
 - The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date: 1/22/02

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Respectfully submitted,


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